

1 **1. Protocol for the photopic negative response (PhNR) of the full-field**  
2 **electroretinogram**

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4 **2. Scope and applications**

5 The photopic negative response (PhNR) of the light-adapted (LA) electroretinogram (ERG)  
6 is a negative-going wave that occurs after the b-wave in response to a brief flash. The PhNR  
7 reflects activity of retinal ganglion cells and their axons<sup>1</sup> and its amplitude can be reduced  
8 early in diseases that affect the inner retina. Photopic negative responses also occur in  
9 response to long duration flashes, following the b-wave at light onset, and d-wave at light  
10 offset, but most publications to date have used brief flashes. Only the brief flash PhNR will  
11 be addressed in this protocol.

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14 Corresponding author: Laura Frishman; Co-authors: Maja Sustar, Jan Kremers, Jason  
15 McAnany, Marc Sarossy, Rad Tzekov, Suresh Viswanathan

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17 **4. Patient population**

18 This protocol for recording the PhNR can be used for testing patients in whom inner retinal  
19 integrity, and specifically signaling by retinal ganglion cells and their axons, may be  
20 compromised. For example, since 2000 reduced PhNR amplitudes have been reported in  
21 patients with glaucoma,<sup>2-5</sup> optic atrophy,<sup>6,7</sup> central retinal artery occlusion,<sup>8,9</sup> ischemic optic  
22 neuropathy,<sup>10</sup> diabetic retinopathy,<sup>11</sup> and idiopathic intracranial hypertension.<sup>12</sup> In some  
23 cases, the protocol may be useful for monitoring treatment effects in eyes with ocular  
24 hypertension or glaucoma.<sup>13</sup> Abnormal K<sup>+</sup> channel activity or other dysfunction of retinal glia  
25 may also be reflected in PhNR recordings.<sup>14</sup> This is because generation of the PhNR, which  
26 has a slow timecourse (Fig 1), is thought to involve glial potassium (K<sup>+</sup>) currents that serve to  
27 remove the excess K<sup>+</sup> released into extracellular space during activation of retinal ganglion  
28 cells.<sup>15</sup>

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30 **5. Technical issues**

31 The electrodes, and electronic recording equipment for this PhNR protocol are as described  
32 in the ISCEV Standard for full-field ERG.<sup>16</sup> The present protocol assumes full-field  
33 stimulation, while acknowledging that focal stimulation has been shown to be effective in  
34 assessing inner retinal function.<sup>17</sup> For the frequency bandwidth of the recording, the ISCEV  
35 Standard suggests a range of at least 0.3-300 Hz. For PhNR recordings, the bottom limit of  
36 the filtering could be even lower, and should not exceed 0.3 Hz, to minimize distortion and  
37 possible elimination of the slow negative wave. For spectral characteristics of the stimulus,  
38 whereas the ISCEV Standard recommends “visibly white” (broadband) stimuli, narrowband  
39 stimuli are recommended for recording the PhNR: specifically a red flash on a rod saturating

40 blue background. LED based stimulators typically provide 20 nm half bandwidth for the red  
41 and blue LEDs. The recommendation for narrowband stimuli is based on the outcome of  
42 studies that compared PhNR amplitudes using broad vs narrow band stimuli in nonhuman  
43 primates<sup>18</sup> and in glaucoma patients,<sup>5, 18, 19</sup> and more generally on a review of the literature  
44 which shows that most studies in patients have used red LED flashes on blue LED  
45 backgrounds. It should be noted that other narrowband combinations using blue flashes on  
46 yellow or orange backgrounds have also been reported to be effective for eliciting a robust  
47 PhNR.<sup>19, 20</sup>

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## 49 **6. Calibration**

50 The stimulus strength for the brief flashes can be specified in photopic candela seconds per  
51 meter squared (phot cd.s.m<sup>-2</sup>); the background in phot cd.m<sup>-2</sup>. A spectroradiometer is  
52 required to determine the spectral characteristics of chromatic flashes. Care should be taken  
53 to measure a range of flash luminances as some Ganzfeld stimulators use different  
54 combinations and banks of LEDs for different luminance ranges and these may have  
55 different wavelength specifications. It is useful also to confirm that the background is strong  
56 enough to saturate rod photoreceptors, e.g. about 100 scot cd.m<sup>-2</sup>. Blue backgrounds will  
57 saturate the rods while minimizing the photopic stimulus strength and hence the adapting  
58 effect of the background on cone-driven responses.

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## 60 **7. Protocol Specifications**

61 The procedures for patient preparation and recording are as specified by the ISCEV  
62 Standard for the light-adapted ERG, including pupil dilation and 10 minutes of light  
63 adaptation if the patient was dark adapted for other testing prior to recording the light-  
64 adapted ERG. The following additional specifications are recommended.

65 a) The chromatic characteristics of the stimuli

66 b) Flash strengths and background luminance

67 c) Frequency of flash presentation

68 d) Signal averaging

- 69 • Background: steady, blue LED (450 - 485 nm); 100 scot cd.m<sup>-2</sup>; ~10 phot cd/m<sup>2</sup>
- 70 • Flash: <5 ms; red LED (630 - 660 nm); 1.0 – 2.5 phot cd.s.m<sup>-2</sup>, or the stimulus  
71 strength that produces the largest PhNR amplitude, but does not exceed the initial  
72 stimulus strength producing amplitude saturation, or lead to the decline in response  
73 amplitude associated with the photopic hill.<sup>21, 22</sup> The dynamic range of the stimulus  
74 response function generally from ranges from ~ 0.01 to >2.0 phot cd.m<sup>-2</sup>.
- 75 • Inter flash interval: 1 second. Some studies have used an interval of 500 ms, but this  
76 may not allow enough time for PhNR to fully recover to baseline (see Fig 1).
- 77 • Averaging of responses: there should be sufficient repetitions to provide good signal  
78 to noise, and many studies have used 20 trials or more. At least 8 – 10 trials or more  
79 for lower stimulus strengths if a range of stimuli are used that includes weak stimuli,

80 fewer may be necessary for saturated responses. Artifact rejection should be used if  
81 available. If single responses are saved, noisy responses can be removed during off-  
82 line analysis before averaging.

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## 84 **8. Response evaluation**

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86 As shown in Fig 1, the PhNR amplitude can be measured from baseline to the minimum  
87 point in the trough (BT). This is the most straight forward method of measurement. It also  
88 can be measured from the peak of the b-wave to the maximum amplitude in trough (PT), or,  
89 not shown, at a fixed time, e.g. 65 to 75 ms in the trough of the response. Using a fixed time  
90 could be helpful when responses in diseased eyes are small and the trough is difficult to  
91 locate. Note that the PT measurement is largely dominated by the b-wave amplitude, and a  
92 reduction in b-wave amplitude could therefore be misinterpreted as a reduction in PhNR  
93 amplitude. When measuring the PhNR it may be necessary to take account of the i-wave, or  
94 i-waves, positive deflection(s) of Off pathway origin<sup>10</sup> in the falling limb of the b-wave, and/or  
95 later in the trough (Fig 1). For responses to the suggested narrowband stimuli, such as  
96 those used for responses in Fig 1, the maximum trough amplitude generally occurs after the  
97 initial i-wave. Given the slow nature of the response, and the variety of amplitude criteria that  
98 have been used, peak time of the PhNR is generally not reported. The PhNR is moderately  
99 affected by age, so for the particular measure(s) chosen, appropriate age-matched  
100 normative data should be used.<sup>3, 22</sup> Comparisons of findings in patients to a normal range of  
101 PhNR amplitudes are also important, as the test-retest variability of PhNR amplitudes is  
102 generally greater than that of a- and b-waves.<sup>21-24</sup>

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## 104 **9. Reporting**

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106 Reporting of results of PhNR testing should include measurements of the a-wave, b-wave  
107 and PhNR and a computation of the PhNR:b-wave ratio. This helps to determine whether the  
108 origin of any change in PhNR amplitude is at the retinal ganglion cells themselves or a more  
109 distal location in the retina. The choice of method for measuring PhNR amplitude is open to  
110 the study and the site, but for comparison with other studies inclusion of the BT measure is  
111 advised. Some studies have compared the sensitivity of the ratio of PhNR to b-wave  
112 amplitude (i.e. PhNR normalized to b-wave) versus the simple BT measure for detecting  
113 glaucoma, and results were mixed.<sup>4,25</sup> Caution is needed as the ratio measure could be  
114 misleading in diseases where the b-wave is abnormal.

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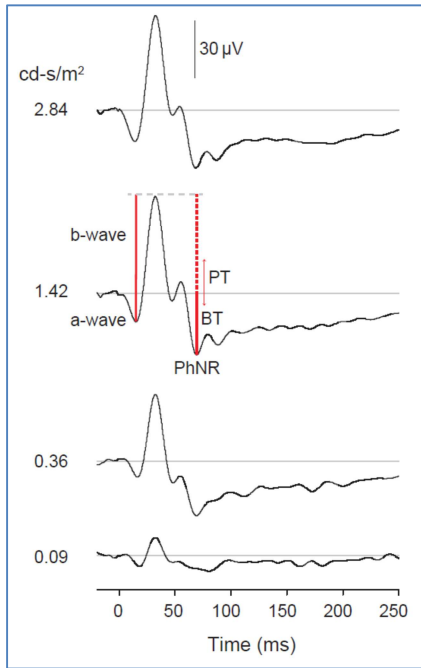
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**Figure 1:** Illustration of the light-adapted ERG of a healthy subject (35 yrs) in response to a brief red LED flash (660 nm) on a blue background (460 nm) of 10 cd.m<sup>-2</sup>. Figure shows PhNR amplitude measurements from baseline to PhNR trough (BT) and from b-wave peak to PhNR trough (PT). Adapted from reference<sup>26</sup> (the Association for Research in Vision and Ophthalmology is the copyright holder).

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217 **Part B. Justification for the protocol details and description of the consultation**  
218 **process**

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220 A systematic literature review was performed using PubMed to find publications that  
221 reported use of the PhNR from the period 1999 to 2017, The committee identified the  
222 relevant references listed above, discussed the methods used in the references to record  
223 PhNRs, and came to a consensus on the recommendations in the extended protocol.

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